# The Leapfrog Hospital Survey Scoring Algorithms

Scoring Details for Sections 2 – 9 of the 2018 Leapfrog Hospital Survey



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## 2018 Leapfrog Hospital Survey Scoring Algorithms

http://leapfroggroup.org/survey

This document includes the scoring algorithms for the 2018 Leapfrog Hospital Survey. The scoring algorithms are organized by section:

- Section 2 Medication Safety Computerized Physician Order Entry (CPOE)
- Section 3 Inpatient Surgery
- Section 4 Maternity Care
- Section 5 ICU Physician Staffing
- Section 6 NQF Safe Practices
- Section 7 Managing Serious Errors
- Section 8 Medication Safety
- Section 9 Pediatric Care

For a hard copy of the Leapfrog Hospital Survey, which includes measure specifications, endnotes, and FAQs, please visit the <u>Survey and CPOE Materials webpage</u>.

Leapfrog is committed to data accuracy. Please carefully review Leapfrog's data accuracy protocols on our website.

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#### **Scoring and Public Reporting Overview**

Once a hospital submits a Leapfrog Hospital Survey via the <u>online survey tool</u>, the submitted responses are scored using the algorithms detailed in this document. Only those responses that have been affirmed and submitted are scored and publicly reported; saved responses are not scored or publicly reported.

Those hospitals that meet Leapfrog's June 30 reporting deadline will be able to view their survey results on Leapfrog's public <u>website</u> on **July 25**. In addition, those hospitals will be able to preview their survey results, including their <u>NHSN Antibiotic Stewardship Results</u> and <u>NHSN infection data</u> on the <u>Hospital Details Page</u> on **July 12**, about two weeks prior to the public release.

After July 25, the Hospital Details page and public reporting website will be refreshed monthly within the first five (5) business days of each month to reflect new and updated survey submissions until the survey closes for the year on December 31, 2018. More information about survey submission deadlines is available on our <u>website</u>.

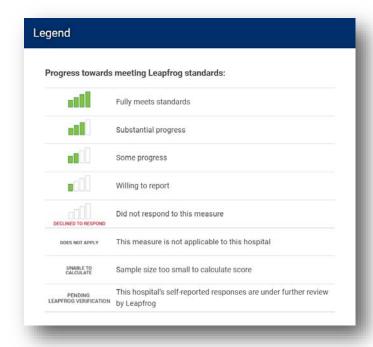
For the purposes of public reporting, performance on each measure on the Leapfrog Hospital Survey is placed into one of four performance categories:

- Fully Meets the Standard (displayed as four-filled bars)
- Substantial Progress (displayed as three-filled bars)
- Some Progress (displayed as two-filled bars)
- Willing to Report (displayed as one-filled bar)

#### Additional scoring terms include:

- **Does Not Apply**: This term is used for hospitals that report not performing a particular procedure (e.g., SSI Colon), not having a particular unit (e.g., ICU), or are not applicable for a particular measure (e.g., facility doesn't deliver newborns).
- Unable to Calculate Score: This term is used for hospitals that report a sample size that does
  not meet Leapfrog's minimum reporting requirements. For the healthcare-associated infections,
  this term is used if the hospital reported too small of a sample size to calculate their results
  reliably (i.e. the number of predicted infections across all locations is <1) or the number of
  observed MRSA or CDI infections present on admission (community-onset prevalence) was
  above a pre-determined cut-point.</li>
- **Declined to Respond:** This term is used for hospitals that do not submit a survey or a particular section of the survey.
- **Pending Leapfrog Verification:** This term is used for hospitals who have self-reported survey responses that are under further review by Leapfrog.

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For the purposes of <u>public reporting</u>, measures are grouped together under six main tabs. The following measures are included in each tab:

Tab Name	Section/Subsection	Measure Name	Shown on public reporting website as:
	Section 6	NQF Safe Practices	Steps to Avoid Harm
Innetiont Core	Subsection 7A	Never Events Policy	Never Events Management
Inpatient Care Management	Subsection 7C	Antibiotic Stewardship Practices	Appropriate Use of Antibiotics in Hospitals
	Section 5	ICU Physician Staffing	Specially Trained Doctors Care for ICU Patients
Medication	Section 2	Medication Safety - Computerized Physician Order Entry (CPOE)	Doctors Order Medication Through a Computer
Safety	Section 8A	Bar Code Medication Administration (BMCA)	Safe Medication Administration
	Section 8B	Medication Reconciliation	Medication Reconciliation
	Subsection 4B	Elective Delivery	Early Elective Deliveries
	Subsection 4C	Cesarean Birth	Cesarean Sections
	Subsection 4D	Episiotomy	Episiotomies
Maternity Care	Subsection 4E	Maternity Care Processes	Maternity Care
matorinty care			Processes
	Subsection 4F	High-Risk Deliveries	High-Risk Deliveries
5		/ersion 7.1 F	irst Release: April 1, 2018

Tab Name	Section/Subsection	Measure Name	Shown on public reporting website as:
	Subsection 7B	Central Line-Associated Blood Stream Infections (CLABSI) in ICUs and Select Wards	Infection in the Blood
	Subsection 7B	Catheter-Associated Urinary Tract Infections (CAUTI) in ICUs and Select Wards	Infection in the Urinary Tract
Infections	Subsection 7B	Facility-wide inpatient MRSA Blood Laboratory-identified Events	MRSA Infection
	Subsection 7B	Facility-wide inpatient C. Diff. Laboratory-identified Events	C. diff Infection
	Subsection 7B	Surgical Site Infection: Colon	Surgical Site Infection after Colon Surgery
	Section 3A and 3B	Carotid Endarterectomy	Carotid Artery Surgery
	Section 3A and 3B	Mitral Valve Repair and Replacement	Mitral Valve Repair and Replacement
	Section 3A and 3B	Open Abdominal Aortic Aneurysm Repair	Open Abdominal Aortic Aneurysm Repair
Investigat Comment	Section 3A and 3B	Lung Resection for Cancer	Lung Resection for Cancer
Inpatient Surgery	Section 3A and 3B	Esophageal Resection for Cancer	Esophageal Resection for Cancer
	Section 3A and 3B	Pancreatic Resection for Cancer	Pancreatic Resection for Cancer
	Section 3A and 3B	Rectal Cancer Surgery	Rectal Cancer Surgery
	Section 3A and 3B	Bariatric Surgery for Weight Loss	Bariatric Surgery for Weight Loss
	Subsection 9A	CAHPS Child Hospital Survey	Patient Experience of Children and their Parents
Pediatric Care	Subsection 9B	Pediatric Computed Tomography (CT) Radiation Dose	Radiation Dose for Head Scans
	Subsection 9B	Pediatric Computed Tomography (CT) Radiation Dose	Radiation Dose for Abdomen Pelvis Scans

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Section 2: 2018 Medication Safety - Computerized Physician Order Entry (CPOE) Scoring Algorithms

## **CPOE Scoring Algorithm for Adult/General Hospitals**

	Score on Adult Inpatient Test via the CPOE Evaluation Tool					
Implementation Status (from Leapfrog Hospital Survey Questions #3-4)	Full Demonstration of National Safety Standard for Decision Support (60% or greater of test orders correct)	Substantial Demonstration of National Safety Standard for Decision Support (50-59% of test orders correct)	Some Demonstration of National Safety Standard for Decision Support (40-49% of test orders correct)	Completed The Evaluation (Less than 40% of test orders correct)	Insufficient Evaluation (Hospital was not able to test at least 50% of test orders)	Incomplete Evaluation (Failed deception analysis or timed out) -or- Did not complete an evaluation
85% or greater of all inpatient medication orders entered through CPOE System	Fully Meets the Standard	Substantial Progress	Substantial Progress	Some Progress	Unable to Calculate Score	Willing to Report
75-84% of all inpatient medication orders entered through CPOE System	Fully Meets the Standard	Substantial Progress	Some Progress	Some Progress	Unable to Calculate Score	Willing to Report
50-74% of all inpatient medication orders entered through CPOE System	Substantial Progress	Substantial Progress	Some Progress	Willing to Report	Unable to Calculate Score	Willing to Report
CPOE implemented in at least one inpatient unit but <50% of all inpatient medication orders entered through CPOE System	Substantial Progress	Some Progress	Some Progress	Willing to Report	Unable to Calculate Score	Willing to Report
CPOE not implemented in at least one inpatient unit	Cannot take CPOE Evaluation Tool; hospital will be scored as "Willing to Report"					

<u>Declined to respond</u>:
The hospital did not respond to the questions in this section of the survey or did not submit a survey.

Please see Appendix I for additional information about the criteria for scoring the CPOE Evaluation Tool.

## **CPOE Scoring Algorithm for Pediatric Hospitals**

CPOE Score (Performance category)	Implementation Status (from Leapfrog Hospital Survey Questions #3-4)
Fully Meets the Standard	<b>85% or greater</b> of all inpatient medication orders entered through CPOE System
Substantial Progress	<b>75-84%</b> of all inpatient medication orders entered through CPOE System
Some Progress	<b>50-74%</b> of all inpatient medication orders entered through CPOE System
Willing to Report	CPOE implemented in at least one inpatient unit but <50% of all inpatient medication orders entered through CPOE System OR CPOE not implemented in at least one inpatient unit
Declined to Respond	The hospital did not respond to the questions in this section of the survey or did not submit a survey.

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#### Section 3: 2018 Inpatient Surgery Scoring Algorithms

## **Hospital and Surgeon Volume**

For each surgical procedure, Leapfrog assesses whether the hospital met the minimum hospital volume standard and whether the hospital's process for privileging its surgeons includes meeting or exceeding the minimum surgeon volume standard detailed below:

Procedure	Hospital Volume (minimum per 12-months or 24-month average)	Surgeon Volume (minimum per 12-months or 24-month average)
Carotid endarterectomy	20	10
Mitral valve repair and replacement	40	20
Open abdominal aortic aneurysm repair	15	10
Lung resection for cancer	40	15
Esophageal resection for cancer	20	7
Pancreatic resection for cancer	20	10
Rectal cancer surgery	16	6
Bariatric surgery for weight loss	50	20

Leapfrog then assigns a performance category based on whether the minimum hospital volume standard was met and whether the hospital's process for privileging surgeons includes the surgeons meeting or exceeding the minimum surgeon volume standard. Performance categories are assigned for each surgery as follows:

Hospital and Surgeon Volume Standard Score (Performance Category)	For each of the surgeries performed by the hospital
Fully Meets the Standard	<ul> <li>The hospital met the minimum hospital volume standard for the surgery; and</li> <li>The hospital's process for privileging surgeons includes meeting or exceeding the minimum surgeon volume standard.</li> </ul>
Substantial Progress	<ul> <li>The hospital met the minimum hospital volume standard for the surgery; and</li> <li>The hospital's process for privileging surgeons does not include meeting or exceeding the minimum surgeon volume standard, but the hospital is committed to doing so within the next 12 months.</li> </ul>
Some Progress	<ul> <li>The hospital did not meet the minimum hospital volume standard for the surgery, but the hospital's process for privileging surgeons includes meeting or exceeding the minimum surgeon volume standard; OR</li> <li>The hospital met the minimum hospital volume standard for the surgery, but the hospital's process for privileging surgeons does not include the minimum surgeon volume standard, and the hospital is not committed to doing so within the next 12 months.</li> </ul>
Willing to Report	<ul> <li>The hospital did not meet the minimum hospital volume standard for the surgery; and</li> <li>The hospital does not include the minimum surgeon volume standard in its privileging policy, whether or not they are committed to doing so in the next 12 months.</li> </ul>
Does Not Apply	The hospital does not perform the surgery or is a pediatric facility.
Declined to Respond	The hospital did not respond to the questions in this section of the survey or did not submit a survey.

## **Surgical Appropriateness**

In 2018, responses to this subsection are not scored. However, the responses are used in public reporting. For each surgery performed, Leapfrog displays the hospital's overall score, which is based on the hospital's ability to meet the hospital volume standard and inclusion of the minimum surgeon volume standard in its privileging process. When visitors to Leapfrog's public reporting website click into the score icon (i.e. four filled bars, three filled bars, etc.), they will see a statement indicating whether the hospital has processes and protocols in place to ensure surgical appropriateness. Hospitals that respond "Yes" to all five questions specific to that surgery are reported as "Yes" and hospitals that respond "No" to one or more of the five questions are reported as "Not Yet."

#### Section 4: 2018 Maternity Care Scoring Algorithms

## **Elective Deliveries**

A hospital's early elective deliveries rate prior to 39 weeks completed gestation is used to determine in which performance category a hospital is placed:

Early Elective Deliveries Score (Performance Category)	Early Elective Deliveries Rate
Fully Meets the Standard	<= 5%
Substantial Progress	> 5% and <= 10%
Some Progress	> 10% and <= 15%
Willing to Report	> 15%
Unable to Calculate Score	The hospital did not meet the minimum reporting size (n < 10).
Does Not Apply	The hospital did not deliver newborns during the reporting period.
Declined to Respond	The hospital did not respond to the questions in this section of the survey or did not submit a survey.

## **Cesarean Birth**

A hospital's unadjusted NTSV cesarean section rate is used to determine in which performance category a hospital is placed:

NTSV Cesarean Section Score (Performance Category)	NTSV Cesarean Section Rate
Fully Meets the Standard	<= 23.9%
Substantial Progress	> 23.9% and <= 27.0%
Some Progress	> 27.0% and <= 33.3%
Willing to Report	> 33.3%
Unable to Calculate Score	The hospital did not meet the minimum reporting size (n < 10).
Does Not Apply	The hospital did not deliver newborns during the reporting period.
Declined to Respond	The hospital did not respond to the questions in this section of the survey or did not submit a survey.

## **Episiotomy**

A hospital's rate of episiotomy is used to determine in which category a hospital is placed:

Episiotomy Score (Performance Category)	Episiotomy Rate
Fully Meets the Standard	<= 5%
Substantial Progress	> 5% and <= 10%
Some Progress	> 10% and <= 15%
Willing to Report	> 15%
Unable to Calculate Score	The hospital did not meet the minimum reporting size (n < 10).
Does Not Apply	The hospital did not deliver newborns during the reporting period.
Declined to Respond	The hospital did not respond to the questions in this section of the survey or did not submit a survey.

## **Maternity Care Process Measures**

A hospital's adherence to the two maternity care process measures is used to determine in which performance category the hospital is placed. Leapfrog's target for each process measure is  $\geq$  90%.

Maternity Care Process  Measures Score (Performance Category)	Meaning that
Fully Meets the Standard	The hospital met the 90% target for both Newborn Bilirubin Screening Prior to Discharge and Appropriate DVT Prophylaxis in Women Undergoing Cesarean Section.
Substantial Progress	The hospital met the 90% target for one of the process measures and did not meet the minimum reporting requirement for the other process measure (n<10).
Some Progress	The hospital met the 90% target for one of the process measures and did not perform a medical record audit of all cases or did not meet the 90% target for the other process measure.
Willing to Report	The hospital did not meet the 90% target on either process measure.
Unable to Calculate Score	The hospital did not meet the minimum reporting requirements for either process measure (n < 10).
Does Not Apply	The hospital did not deliver newborns during the reporting period.
Declined to Respond	The hospital did not measure, did not respond to the questions in this section of the survey, or did not submit a survey.

## **High-Risk Deliveries**

Scoring for this measure is based on a combination of either (a) a hospital's annual volume of very-low birth weight (VLBW) infants **and** adherence to the antenatal steroids process measure  $\underline{or}$  (b) a hospital's performance on the VON outcome measure **and** adherence to the antenatal steroids process measure. Leapfrog's target for the antenatal steroids process measure is >= 90%.

#### For hospitals reporting on Volume

High-Risk Deliveries Score (Performance Category)	NICU annual patient count (volume)	Antenatal steroids process measure
Fully Meets the Standard	>= 50 VLBW infants	Met target
Substantial Progress	>= 50 VLBW infants	Did not meet target or did not measure or unable to calculate score (n<10)
Some Progress	< 50 VLBW infants or No NICU	Met target
Willing to Report	< 50 VLBW infants or No NICU	Did not meet target or did not measure or unable to calculate score (n<10)

#### For hospitals reporting on VON's Death or Morbidity Outcome Measure:

If the **upper bound** of the shrunken SMR is less than 1, the center is performing **better than expected**. (e.g., SMR: 0.7; lower bound: 0.3; upper bound: 0.9)

If the **lower bound** of the shrunken SMR is greater than 1, the center is performing **worse than expected**. (e.g., SMR: 1.6; lower bound: 1.2; upper bound: 2.1)

If the **lower and upper bounds include 1**, then the center is performing **as expected**. (e.g., SMR: 1.0; lower bound: 0.8; upper bound: 1.2)

High-Risk Deliveries Score (Performance Category)	Death or Morbidity (VON Outcome Measure)	Antenatal steroids process measure	
Fully Meets the Standard	Hospital's outcomes are <b>better</b> than expected	Met target	
Substantial Progress	Hospital's outcomes are <b>better</b> than expected	Did not meet target or did not measure or unable to calculate score (n<10)	
	Hospital's outcomes are <b>equal</b> to what is expected	Met target	
Some Progress	Hospital's outcomes are <b>equal</b> to what is expected	Did not meet target or did not measure or unable to calculate score (n<10)	
Willing to Report	Hospital's outcomes are worse than expected	Whether a hospital met target or did not meet target	

**Declined to respond** means the hospital did not respond to the questions in this section of the survey or did not submit a survey.

**Does not apply** means the hospital does not electively admit high-risk deliveries.

#### Section 5: 2018 ICU Physician Staffing (IPS) Scoring Algorithm

Hospitals are scored for the ICU Physician Staffing section of the survey based on their answers to a set of 14 questions related to the staffing structures they have in place to care for ICU patients in adult and pediatric general medical and/or surgical intensive care units and neuro intensive care units.

IPS Score			
(Performance	Meaning that		
Fully Meets the Standard	<ul> <li>The hospital responded "Yes" or "Not applicable, intensivists are present 24/7" to all of the following questions:</li> <li>Question #3:     All critical care patients in adult and pediatric general medical and/or surgical ICU(s) and neuro ICUs are managed or co-managed by one or more physicians who are certified in critical care medicine (i.e. "intensivists") when these physicians are present (on-site or via telemedicine)</li> <li>Question #4 or #5:     <ul> <li>One or more intensivist(s) is/are present via telemedicine 24 hours per day, 7 days per week, with some on-site intensivist time;</li> <li>One or more intensivist(s) is/are present in each ICU during daytime hours for at least 8 hours per day, 7 days per week, providing care exclusively in each ICU during these hours</li> </ul> </li> <li>Question #6:  When intensivists are not present (on-site or via telemedicine) in these ICUs, one of them returns more than 95% of calls/pages/texts from these units within five minutes</li> <li>Question #7:  When intensivists are not present (on-site or via telemedicine) in the ICU or not able to physically reach an ICU patient within 5 minutes, another physician, physician assistant, nurse practitioner or FCCS-certified nurse "effector" is onsite at the hospital and able to reach ICU patients within five minutes in more than 95% of the cases</li> <li>Note: When telemedicine is employed as a substitute for on-site intensivist coverage, it must meet all ten requirements detailed in endnote #26 (in the hard copy of the survey), which includes some on-site intensivist time to manage the</li> </ul>		
	ICU patients' admissions, discharges, and care planning.		
Substantial Progress			

IPS Score (Performance Category)	Meaning that	
3 7/	<ul> <li>When intensivists are on-site in adult and pediatric medical and/or surgical and neuro ICUs, they make all admission and discharge decisions</li> </ul>	
Substantial Progress (alternative for hospitals)	<ul> <li>Question #3:     All critical care patients in adult and pediatric medical and/or surgical ICU(s) and neuro ICUs are managed or co-managed by one or more physicians who are certified in critical care medicine (i.e. "intensivists"), when these physicians are present (on-site or via telemedicine)</li> <li>Question #9:     One or more intensivist(s) is/are present via telemedicine 24 hours per day, 7 days per week, with on-site care planning done by an intensivist, hospitalist, anesthesiologist, or a physician trained in emergency medicine</li> <li>Note: When telemedicine is employed as a substitute for on-site intensivist coverage, it must meet all nine requirements detailed in endnote #30 (in the hard copy of the survey).</li> </ul>	
Some Progress	<ul> <li>The hospital responded "Yes" to all of the following questions:</li> <li>Question #3:     All critical care patients in adult and pediatric medical and/or surgical ICU(s) and neuro ICUs are managed or co-managed by one or more physicians who are certified in critical care medicine (i.e. "intensivists"), when these physicians are present (on-site or via telemedicine)</li> <li>Question #10:     One or more intensivist(s) is/are present on-site at least 4 days per week to establish or revise daily care plans for all critical care patients</li> <li>Question #13 or #14:     <ul> <li>An intensivist leads daily, multi-disciplinary team rounds on-site on all critical care patients in adult and pediatric medical and/or surgical and neuro ICUs 7 days per week;</li> <li>When intensivists are on-site in adult and pediatric medical and/or surgical and neuro ICUs, they make all admission and discharge decisions</li> </ul> </li> <li>Or the hospital responded "Yes" to all of the following questions:</li> <li>Question #11:  If not all, at least some critical care patients are managed or co-managed by physicians who are certified in critical care medicine (i.e. "intensivists"), either on-site or via telemedicine</li> <li>Question #13 or #14:     <ul> <li>An intensivist leads daily, multi-disciplinary team rounds on-site on all critical care patients in adult and pediatric medical and/or surgical and neuro ICUs 7 days per week;</li> <li>When intensivists are on-site in adult and pediatric medical and/or surgical and neuro ICUs, they make all admission and discharge decisions</li> </ul> </li> <li>Note: When telemedicine is employed as a substitute for on-site intensivist coverage, it must meet all nine requirements detailed in endnote #30 (in the hard copy of the survey).</li> </ul>	

IPS Score (Performance Category)	Meaning that
Willing to Report	The hospital responded to all of the questions in this section, but it does not yet meet the criteria for <b>Some Progress</b> .
Does Not Apply	The hospital does not operate an adult or pediatric general medical or surgical intensive care unit or a neuro intensive care unit.
Declined to Respond	The hospital did not respond to the questions in this section of the survey or did not submit a survey.

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#### Section 6: 2018 NQF Safe Practices Scoring Algorithm

The Leapfrog Safe Practices Score (SPS) measures hospitals' progress on five of the National Quality Forum's Safe Practice areas. Each practice area is assigned an individual weight, which is factored into the overall score. Hospitals are then put into one of four performance categories based on their relative progress out of the total number of possible points.

SPS Score (Performance Category)	Overall Points Earned	
Fully Meets the Standard	Grand Total >= 475 Points	
Substantial Progress	Grand Total >= 425 and < 475 Points	
Some Progress	Grand Total >= 375 and < 425 Points	
Willing to Report	Grand Total < 375 Points	
Declined to Respond	Means the hospital did not respond to the questions in this section of the survey or did not submit a survey.	

Note: Cut-points for 2018 are based on surveys submitted by June 30, 2017. These cut-points will remain in place for the entire survey reporting cycle, unless it is determined that there are compelling reasons to make revisions.

Scoring details are described below.

1. **Maximum Points:** Each of the five Safe Practices has a number of points, or Maximum Points, based on the relative impact of the Safe Practice. Maximum Points for all Practices total 500. See below for a list of Safe Practices and their respective Maximum Point values.

Safe	e Practice	Weighting (pts)
1	Culture of Safety Leadership Structures and Systems	120
2	Culture Measurement, Feedback, and Intervention	120
4	Risks and Hazards	100
9	Nursing Workforce <sup>a</sup>	100
19	Hand Hygiene	60
	GRA	ND TOTAL 500

<sup>&</sup>lt;sup>a</sup> Hospitals indicating in Safe Practice #9 that they have current Magnet status designation, as determined by the American Nurses Credentialing Center (ANCC), will receive full points for this Safe Practice.

- 2. **Point values per checkbox:** Within a Safe Practice, each question has an equal point value, computed as the Maximum Points for that Practice divided by the number of checkboxes within that Practice.
- 3. **Points Earned:** Total points earned for each Safe Practice is the sum of the points for each checkbox marked in that respective Safe Practice (the exception being Safe Practice #9, whereby hospitals indicating that they have current Magnet status designation, as determined by the American Nurses Credentialing Center (ANCC), will automatically receive full credit).
- 4. **Overall points:** The overall score for this section of the survey is the sum of all Points Earned for each Safe Practice.
- 5. **Final scoring:** All responding hospitals are stratified into performance categories based on Overall Points.

- 6. Performance Category cut-points are based on a percentage of the Maximum Points achievable across all Safe Practices (500). The distribution of scores, including new or updated survey results, will be reviewed periodically to determine if there are compelling reasons to revise these performance category cut-points further. However, there are no current plans or commitments to change the cut-points during the 2018 survey cycle.
- 7. **Updated submissions:** Hospitals may update and resubmit their surveys as often as needed to reflect actual progress achieved or additional commitments undertaken in these patient safety areas. Hospitals submitting new information will have new results replace the posted results from the prior submission to reflect this progress, consistent with Leapfrog's monthly update of survey results.

#### Section 7: 2017 Managing Serious Errors Scoring Algorithms

## **Never Events**

Adoption of the nine principles of the Leapfrog Group's Policy Statement on Serious Reportable Events/ "Never Events" is scored and publicly reported based on the criteria below:

Never Events Score (Performance Category)	Meaning that
Fully Meets the Standard	The hospital has implemented a policy that adheres to all 9 principles of The Leapfrog Group's Policy Statement on Serious Reportable Events/ "Never Events."
Substantial Progress	The hospital has implemented a policy that adheres to all of the original 5 principles* of The Leapfrog Group's Policy Statement on Serious Reportable Events/ "Never Events," as well as at least 2 additional principles.
Some Progress	The hospital has implemented a policy that adheres to all of the original 5 principles* of The Leapfrog Group's Policy Statement on Serious Reportable Events/ "Never Events."
Willing to Report	The hospital responded to the Leapfrog survey questions pertaining to adoption of this policy, but does not yet meet the criteria for "Some Progress."
Declined to Respond	The hospital did not respond to the questions in this section of the survey or did not submit a survey.

<sup>\*</sup>The Leapfrog Group's original five principles include: apologizing to the patient, performing a root cause analysis, reporting to an external agency within 15 days, waiving all associated costs, and making a copy of the policy available to patients and payers upon request. More information is available at <a href="http://www.leapfroggroup.org/ratings-reports/never-events-management">http://www.leapfroggroup.org/ratings-reports/never-events-management</a>.

## **Healthcare-Associated Infections**

The **standardized infection ratios (SIRs)** for CLABSI, CAUTI, MRSA, C. Diff., and SSI Colon are calculated by NHSN, and will be scored and publicly reported for each hospital that joins Leapfrog's NHSN group, provides a valid NHSN ID in their Leapfrog Survey Profile, and submits Section 7 of the 2018 Leapfrog Hospital Survey.

A hospital's standardized infection ratio is used to determine in which performance category a hospital is placed for each healthcare-associated infection. Note that the MRSA and C. Diff. measures apply to all hospitals:

<b>Score</b> (Performance Category)	CLABSI SIR	CAUTI SIR	MRSA SIR	CDI SIR	SSI Colon SIR
Fully Meets the Standard	<= 0.413	<= 0.427	<= 0.496	<= 0.621	<= 0.349
Substantial	> 0.413 and	> 0.427 and	> 0.496 and	> 0.621 and	> 0.349 and
Progress	<=0.788	<=0.823	<=0.901	<=0.885	<=0.783
Some Progress	> 0.788 and	> 0.823 and	> 0.901 and	> 0.885 and	> 0.783 and
Come i regress	<=1.184	<=1.281	<=1.516	<=1.161	<=1.302

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<b>Score</b> (Performance Category)	CLABSI SIR	CAUTI SIR	MRSA SIR	CDI SIR	SSI Colon SIR
Willing to Report	> 1.184	> 1.281	> 1.516	> 1.161	> 1.302
Unable to Calculate Score	The hospital reported too small of a sample size to calculate their results reliably (i.e. the number of predicted infections across all locations is <1) or the number of observed MRSA or CDI infections present on admission (community-onset prevalence) was above a pre-determined cut-point.				
Does Not Apply	The measure did not apply to the hospital during the reporting period (e.g. zero device days or procedures, no applicable locations, etc.).				
Declined to Respond	The hospital did not join Leapfrog's NHSN group, did not provide a valid NHSN ID, did not respond to the questions in this section of the survey, or did not submit a survey.				

Note: Cut-points are based on the distribution of results from 2017 Leapfrog Hospital Surveys submitted as of July 31, 2017, which included data pulled from NHSN on July 25, 2017. These cut-points will remain in place for the entire survey reporting cycle, unless it is determined that there are compelling reasons to make revisions.

## **Antibiotic Stewardship Practices**

In this section of the survey, hospitals are scored on their adoption and implementation of the <a href="CDC's Core Elements">CDC's Core Elements of Antibiotic Stewardship Programs</a>. The number of Core Elements Met is calculated by NHSN and will be scored and publicly reported for each hospital that joins Leapfrog's NHSN group, provides a valid NHSN ID in their Leapfrog Survey Profile, and submits Section 7 of the 2018 Leapfrog Hospital Survey.

NHSN calculates the number of Core Elements Met using a hospital's responses to questions #25-35 in the 2017 NHSN Patient Safety Component – Annual Hospital Survey. See how each question in the Antibiotic Stewardship Practices section of the Annual Hospital Survey maps to one of the seven core elements below:

CDC's Seven Core Elements of Antibiotic Stewardship Programs	Antibiotic Stewardship Questions from 2017 Patient Safety Component - Annual Hospital Survey
Leadership commitment: Dedicate necessary human, financial, and IT resources.	#25 or #28
2. Accountability: Appoint a single leader responsible for program outcomes. Physicians have proven successful in this role.	#26
Drug expertise: Appoint a single pharmacist leader to support improved prescribing.	#27
4. Act: Take at least one prescribing improvement action, such as requiring reassessment after 48 hours to check drug choice, dose, and duration.	#29a, #30a, #31, #32, or #33

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CDC's Seven Core Elements of Antibiotic Stewardship Programs	Antibiotic Stewardship Questions from 2017 Patient Safety Component - Annual Hospital Survey	
5. Track: Monitor prescribing and antibiotic resistance patterns.	#29b, #30b, or #34b (only DDD, DOT, or Purchasing Data qualify for #34b)	
<b>6. Report</b> : Regularly report to staff prescribing and resistance patterns, and steps to improve.	#33 or #34c	
7. Educate: Offer education about antibiotic resistance and improving prescribing practices.	#35	

Hospitals that have adopted all seven of the Core Elements will be scored as "Fully Meets the Standard." Hospitals that have adopted fewer than seven of the Core Elements will be scored as "Willing to Report."

Antibiotic Stewardship Practices Score (Performance Category)	Meaning that
Fully Meets the Standard	The hospital has implemented all seven Core Elements identified by the CDC for a successful Antibiotic Stewardship Program.
Willing to Report	The hospital has implemented fewer than seven Core Elements identified by the CDC for a successful Antibiotic Stewardship Program.
Declined to Respond	The hospital did not join Leapfrog's NHSN group, did not provide a valid NHSN ID, did not respond to the questions in this section of the survey, or did not submit a survey.

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#### Section 8: 2018 Medication Safety Scoring Algorithms

## **Bar Code Medication Administration**

In this section of the survey, hospitals are scored on four components of BCMA use:

- **% Units**: A hospital's implementation of BCMA throughout the hospital, as measured by the percentage of units with a focus on adult and pediatric medical and/or surgical units, intensive care units (adult, pediatric, and neonatal), and labor and delivery units.
- % Compliance: A hospital's compliance with patient and medication scans during administration.
- **Decision Support**: The types of decision support that the hospital's BCMA system offers, including:
  - 1. Wrong patient
  - 2. Wrong medication
  - 3. Wrong dose
  - 4. Wrong time (e.g., early/late warning; warning that medication cannot be administered twice within a given window of time)
  - 5. Vital sign check
  - 6. Patient-specific allergy check
  - 7. Second nurse check needed
- Workarounds: A hospital's structures to monitor and reduce workarounds, including:
  - 1. Has a formal committee that meets routinely to review data reports on BCMA system use
  - 2. Has back-up systems for hardware failures
  - 3. Has a help desk that provides timely responses to urgent BCMA issues in real-time
  - 4. Conducts real-time observations of users at the unit level using the BCMA system
  - 5. Engages nursing leadership at the unit level on BCMA use
  - In the past 12 months used the data and information obtained through items 1-5 to implement quality improvement projects that have focused on improving the hospital's BCMA performance

#### OR

In the past 12 months used the data and information obtained through items 1-5 to monitor a previously implemented quality improvement project focused on improving the hospital's BCMA performance

7. In the past 12 months evaluated the results of the quality improvement projects (from 6) and demonstrated that these projects have resulted in higher adherence to your hospital's standard medication administration process

#### OR

In the past 12 months evaluated the results of the quality improvement projects (from 6) and demonstrated continued adherence to your hospital's standard medication administration process

8. Communicated back to end users the resolution of any system deficiencies and/or problems that may have contributed to the workarounds

BCMA Score (Performance Category)	% Units	% Compliance	Decision Support	Processes & Structures to Prevent Workarounds
Fully Meets the Standard	100%	95%	7 out of 7	6 out of 8
Substantial Progress	The hospital meets 3 of the 4 standards			
Some Progress	The hospital meets 2 of the 4 standards			
Willing to Report	The hospital meets 1 or 0 of the 4 standards			
Declined to Respond	The hospital did not respond to the questions in this section of the survey or did not submit a survey.			
Does Not Apply	The hospital does not operate an ICU, medical/surgical unit, or labor and delivery unit.			

## **Medication Reconciliation**

Data collection and reporting on the number of unintentional medication discrepancies identified between the Gold Standard Medication History obtained by a trained pharmacist and the admission and discharge orders, including the number of additional unintentional medications is scored and publicly reported based on the criteria below:

Medication Reconciliation Score (Performance Category)	Meaning that
Fully Meets the Standard	The hospital has a protocol in place to collect data on the accuracy of the hospital's medication reconciliation process.
Willing to Report	The hospital is working on putting a protocol in place to collect data on the accuracy of the hospital's medication reconciliation process.
Declined to Respond	The hospital does not have a protocol in place to collect data on the accuracy of the hospital's medication reconciliation process, did not respond to the questions in this section of the survey, or did not submit a survey.
Does Not Apply	The hospital is a pediatric facility.

Note: Hospitals are scored as "Willing to Report" if they sampled and responded to the questions in this section of the survey, but had their responses flagged in Leapfrog's monthly <u>data review</u>.

Section 9: 2018 Pediatric Care Scoring Algorithms

## **Patient Experience (CAHPS Child Hospital Survey)**

Hospitals are scored based on Top Box Scores from a subset of the domains (5 out of 18) included on the CAHPS Child Hospital Survey. These domains were selected for use in scoring due to having the lowest median performance and the largest variation in performance across hospitals.

- Communication with Parent Communication about your child's medicines
- Communication with Parent Keeping you informed about your child's care
- Communication with Child How well nurses communicate with your child
- Communication with Child How well doctors communicate with your child
- Attention to Safety and Comfort Preventing mistakes and helping you report concerns

Quartile values for each of the 5 domains listed above were calculated based on the range of hospital performance reported in 2018 Leapfrog Hospital Surveys submitted by June 30. Hospitals receive points for each of the 5 domains based on how their Top Box Scores compare to the quartile cut-points. Hospitals that perform in the top quartile receive 4 points for that domain; those that perform in the 3rd quartile receive 3 points, etc.

Point Assignment (Quartiles [Q])	Communication about child's medicines (%)	Keeping you informed about child's care (%)	Child Communication with nurses (%)	Child Communication with doctors (%)	Preventing mistakes and reporting concerns (%)
4 Points (>= Q3)	>= 82	>= 78	>= 77	>= 76	>= 65
3 Points (>= Q2 and < Q3)	>= 80 and < 82	>= 75 and < 78	>= 74 and < 77	>= 72 and < 76	>= 60 < 65
2 Points (>= Q1 and < Q2)	>= 77 and < 80	>= 71 and < 75	>= 72 and < 74	>= 69 and < 72	>= 56 and < 60
1 Point (< Q1)	< 77	< 71	< 72	< 69	< 56

<sup>\*</sup> Cut-points are based on the distribution of performance reported in 2018 Leapfrog Hospital Surveys submitted by June 30. These cut-points will remain in place for the entire survey reporting cycle, unless it is determined that there are compelling reasons to make revisions.

Then the number of points earned over all domains is calculated to determine the overall performance category. The number of points required for each of the four performance categories (i.e. "Fully Meets the Standard," "Substantial Progress," etc.) was determined by the distribution of Total Points Earned using Surveys submitted by June 30.

Patient Experience (Performance Category)	Total Points Earned
Fully Meets the Standard	>= 16 Points
Substantial Progress	>= 13 and < 16 Points
Some Progress	>= 11 and < 13 Points
Willing to Report	< 11 Points
Unable to Calculate Score	The hospital did not meet the minimum reporting requirements for the measure (<100 returned CAHPS Child Hospital Surveys).
Does Not Apply	The hospital had too few pediatric inpatient admissions (n < 500) to administer the CAHPS Child Hospital Survey.

**Declined to Respond** 

The hospital did not administer the CAHPS Child Hospital Survey, did not respond to the questions in this section of the survey, or did not submit a survey.

## Pediatric Computed Tomography (CT) Radiation Dose

Hospitals are scored on their performance for head scans and abdomen/pelvis scans separately by comparing the median radiation dose length product (DLP) for each anatomic region and age stratum to two benchmarks. The first benchmark is the Median Benchmark, which is the median of the median doses reported across all Leapfrog-reporting hospitals as of June 30, 2018. The second benchmark is the 75th Percentile Benchmark, which is the median of the 75th percentile doses reported across all Leapfrogreporting hospitals as of June 30, 2018.

Hospitals receive points based on their reported median dose compared to the benchmarks. If the hospital's reported median dose is less than the Median Benchmark, then it receives 2 points. If the hospital's reported median dose is greater than or equal to the Median Benchmark and less than the 75<sup>th</sup> Percentile Benchmark, then it receives 1 point. Otherwise, if the hospital's reported median dose is greater than or equal to the 75<sup>th</sup> Percentile Benchmark, it receives no points for that category.

Therefore, for each anatomic region, there are at most 10 possible points. If a hospital had less than 10 CT scans for an age stratum, then the age stratum is not included in scoring.

HEAD SCANS					
Point Assignment (Benchmarks)	< 1 year	1 - 4	5 - 9	10-14	15-17
2 Points (Median Dose < Median Benchmark)	< 291	< 395	< 492	< 637	< 755
1 Point (Median Dose >= Median Benchmark and < 75 <sup>th</sup> Percentile Benchmark)	>= 291 and < 360	>= 395 and < 499	>= 492 and < 578	>= 637 and < 758	>= 755 and < 865
0 Points ( Median Dose >= 75 <sup>th</sup> Percentile Benchmark)	>= 360	>= 499	>= 578	>= 758	>= 865

ABDOMEN/PELVIS SCANS					
Point Assignment (Benchmarks)	< 1 year	1 - 4	5 - 9	10-14	15-17
<b>2 Points</b> (Median Dose < Median Benchmark)	< 62	< 88	< 142	< 284	< 392
1 Point (Median Dose >= Median Benchmark and < 75 <sup>th</sup> Percentile Benchmark)	>= 62 and < 80	>= 88 and < 114	>= 142 and < 193	>= 284 and < 391	>= 392 and < 573
0 Points ( Median Dose >= 75 <sup>th</sup> Percentile Benchmark)	>= 80	>= 114	>= 193	>= 391	>= 573

<sup>\*</sup> Cut-points are based on the distribution of median doses reported in 2018 Leapfrog Hospital Surveys submitted by June 30. These cut-points will remain in place for the entire survey reporting cycle, unless it is determined that there are compelling reasons to make revisions.

<sup>\*</sup> Cut-points are based on the distribution of total points earned in 2018 Leapfrog Hospital Surveys submitted by June 30. These cut-points will remain in place for the entire survey reporting cycle, unless it is determined that there are compelling reasons to make revisions.

For each anatomic region, the percentage of points awarded is calculated by summing the points earned and dividing by the total number of possible points (e.g., 2 times the number of age strata with at least 10 CT scans). This percentage of points earned is used to assign a performance category according to the table below:

Pediatric CT Dose Score (Performance Category)	Head Scans	Abdomen/Pelvis Scans	
Fully Meets the Standard	>= 75% of total possible points	>= 75% of total possible points	
Substantial Progress	>= 50% and < 75% of total possible points	>= 50% and < 75% of total possible points	
Some Progress	>=25% and < 50% of total possible points	>=25% and < 50% of total possible points	
Willing to Report	< 25% of total possible points	< 25% of total possible points	
Unable to Calculate Score	Fewer than 10 CT scans for all age ranges	Fewer than 10 CT scans for all age ranges	
Does Not Apply	The hospital does not perform CT scans on pediatric patients.		
Declined to Respond	The hospital did not measure pediatric scan doses, did not respond to the questions in this section of the survey, or did not submit a survey.		

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#### Appendix I: CPOE Evaluation Tool Scoring Algorithm

## **CPOE Evaluation Tool (v3.5) Scoring**

#### (For Adult and General Hospitals Only)

To fully meet Leapfrog's CPOE Standard, each adult and general hospital must (1) ensure that licensed prescribers enter at least 75% of inpatient medication orders via a computer system that includes decision support software to reduce prescribing errors, and (2) demonstrate, via a test, that its inpatient CPOE system can alert physicians to at least 60% of common serious prescribing errors.

Hospitals are asked to use Leapfrog's CPOE Evaluation Tool to complete an Adult Inpatient Test to fulfill the second requirement of our standard. Upon successful completion of an Adult Inpatient Test, a hospital's responses are immediately scored and available to be viewed and printed. Results from prior 2017 and 2018 tests are also archived and can be accessed at any time by logging back into the CPOE Evaluation Tool from the Survey Dashboard.

#### Results from the Adult Inpatient Test

The CPOE Evaluation Tool calculates the results from the Adult Inpatient Test and displays a report that includes 10 individual category scores and an overall score using the criteria described below.

#### **Category Scores**

- Each category included in the CPOE Evaluation Tool represents an area where a serious
  adverse drug event (ADE) could occur if the CPOE system's clinical decision support fails to alert
  the prescriber. The intent of the test is to measure and improve on a hospital's use of clinical
  decision support to reduce ADEs and improve medication safety.
- Results are calculated for each category and are displayed as a percent correct (i.e. 80% in the
  drug-allergy category means that the hospital responded to 80% of the test orders in this category
  correctly).
- Test Orders that include medications that could not be entered in any formulation are excluded from the overall score calculation. For some categories, orders that could not be entered with the specified dose, frequency, or route are also excluded.
- For any category for which too few orders were entered to reliably calculate a category score, "insufficient responses to evaluate performance in this category" appears instead of a percentage score. Individual orders that were able to be tested within a category are included in the overall score.

Order Check Category		Example
Therapeutic Duplication	Medication combinations overlap therapeutically (same agent or same class)	Using clonazepam and lorazepam together
Drug-Dose (Single)	Specified dose of medication exceeds safe range for single dose	Tenfold overdose of digoxin
Drug-Dose (Daily)	Specified frequency of administration results in daily dose that exceeds safe range for daily dose	Ordering ibuprofen regular dose every three hours
Drug-Allergy	Medication (or medication class) is one for which patient allergy has been documented	Penicillin prescribed for patient with documented penicillin allergy
Drug-Route	Specified route of administration is inappropriate and potentially harmful	Use of vitamin K intramuscular injection

#### 2018 Leapfrog Hospital Survey Appendix I – CPOE Evaluation Tool Scoring Algorithm

Order Check Category	·	Example
Drug-Drug	Medications in pair of orders result in known harmful interaction when used in combination	Concurrent linezolid and sumatriptan
Drug- Diagnosis	Medication contraindicated based on documented problem/diagnosis	Nonspecific beta-blocker in patient with asthma
Drug-Age	Medication contraindicated based on patient age	Prescribing diazepam for a patient over 65 years old
Drug-Lab	Medication contraindicated based on documented laboratory test results (includes renal status)	Use of enalapril in patient with severe renal failure
Drug Monitoring	Medication for which the standard of care includes subsequent monitoring to avoid harm	Prompt to monitor drug levels when ordering aminoglycoside

The Tool also includes an "Alert Fatigue" test category, which checks if prescribers are receiving alerts or information for inconsequential medication interactions that clinicians typically ignore. An example would be alerting on the concurrent use of hydrochlorothiazide and captopril. This test category is not included in scoring.

The Tool also includes a "Deception Analysis" test category, which checks for "false positives" (e.g., orders that should not have generated any warning in the hospital's CPOE system). Hospital's that "fail" the Deception Analysis are scored as "incomplete evaluation" and will not be able to retake an Adult Inpatient Test for 120 days.

#### **Overall Score**

In addition to individual category scores for each of the 10 categories for which hospitals were able to test a sufficient number of orders, the results also include an overall score based on all scored orders across all categories. The overall score is used as part of Leapfrog's CPOE Standard Scoring Algorithm.

The overall score is based on the performance of the hospital's CPOE clinical decision support to alert prescribers to common, serious prescribing errors that could result in an adverse drug event. In addition, the test includes a number of orders that could result in a fatal adverse drug event. Any of these potentially fatal orders not flagged by the clinical decision support are listed on the results page of the CPOE Evaluation Tool. In addition, the Test Orders include a number of orders that could contribute to alert fatigue if clinical decision support is displayed reporting potential harm. Any Alert Fatigue orders for which advice or information was reported are also listed on the results page of the CPOE Evaluation Tool.

Overall Score (Combined with the hospital's % of inpatient medication orders entered via CPOE and publicly reported)		Description
Full Demonstration of National Safety Standard for Decision Support	serious prescribing er  The hospital r	responded to ≥20 test orders responded correctly to ≥60% of test orders
Substantial Demonstration of National Safety Standard for Decision Support	common serious pres	system alerts prescribers to many cribing errors. Meaning that: responded to ≥20 test orders responded correctly to ≥50%, but less than orders across all categories
Some Demonstration of National Safety Standard for Decision Support	•	system alerts prescribers to some cribing errors. Meaning that:
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Overall Score (Combined with the hospital's % of inpatient medication orders entered via CPOE and publicly reported)	Description
	<ul> <li>The hospital responded to ≥20 test orders</li> <li>The hospital responded correctly to ≥40%, but less than 50% of test orders across all categories</li> </ul>
Completed the Evaluation	This hospital's CPOE system alerts prescribers to few common serious prescribing errors. Meaning that:  • The hospital responded to ≥20 test orders  • The hospital responded correctly to less than 40% of test orders across all categories
Insufficient Evaluation	This hospital was not able to test a sufficient number of orders (<20) to receive an overall score. However, the hospital may use the category scores for local hospital quality improvement efforts. The hospital is eligible to retake the test in 120 days.
Incomplete Evaluation	This hospital did not complete the CPOE Evaluation Tool within the allotted time. The hospital is eligible to retake the test in 120 days.
	Note: Hospital will not be able to view results within the CPOE Evaluation Tool. The test is not scored.
Failed Deception Analysis (Publicly reported as Incomplete Evaluation)	This hospital submitted responses that included potentially inaccurate results. The hospital is eligible to retake the test in 120 days.

Results from the 2018 Leapfrog Hospital Survey will be available at <a href="http://leapfroggroup.org/compare-hospitals">http://leapfroggroup.org/compare-hospitals</a> on July 25, 2018.

Results are then updated within the first five (5) business days of each month to reflect new survey submissions and resubmissions.

The 2018 Leapfrog Hospital Survey closes on December 31, 2018.

Find more information about the 2018 Leapfrog Hospital Survey at: <a href="http://leapfroggroup.org/survey">http://leapfroggroup.org/survey</a>.